## HIGHLY CONFIDENTIAL



1. Date \_\_\_\_

# STOCK INSTRUCTION FORM

Account Name: \_\_\_\_

Please print, fill out and submit the ORIGINALLY signed form to Operations Department.

2. Type of Request (Please tick one)		
Stock Transfer IN [transfer of shares from other broker to A	MATRKES] (Php75.00/stock)	
Stock Transfer OUT [transfer of shares from MATRKES to ot	ther broker] (Php200.00/stock)	
Internal Stock Transfer (NCBO) From	То	(Free of Charge)
Lodgement/Conversion (Php330.00 and additional Php	50/stock for succeeding certific	ates)
Upliftment [withdrawal of shares from depository account to	physical certificate] (Php370.00)	/stock)

# 3. For Stock Transfer IN/OUT only (No Change in Beneficial Ownership - NCBO)

#### Name of Counterparty/Broker:

Please make sure that the Counterparty/Broker is notified of this request.

#### 4. List of Securities

Stock Code	Name of Security	No. of Shares	Average Cost (for Stock Transfer IN and lodgement)	<b>Certificate No.</b> (for lodgement only)

Note to client:

- If average cost is left blank, closing market price of the day will be used.
- Stock transfer request should have no change in beneficial ownership.
- For lodgement request, the transfer office may require additional requirements that could increase processing time of the request. You shall submit them within 2 weeks from notice; otherwise MATRKES shall automatically cancel the request and return all original certificate/s.
- For upliftment request, issuance of new stock certificates may take more than thirty (30) days. If you are unable to claim the certificates from MATRKES office within 5 working days of notice, MATRKES shall courier them to your delivery address at your expense, which courier fees shall be deducted from your MATRKES account.

## 6. Terms and Conditions

In consideration of Maybank ATR Kim Eng Securities, Inc. agreeing to and accepting my/our instructions hereunder, I/We agree to the following terms and conditions:

- This share transfer is subject to the approval and consent of the relevant authorities.
- Processing of this request may take 2-3 working days upon receipt of complete requirements
- I/we acknowledge and accept that my/our personal data will be collected, used, processed and disclosed by MATRKES to provide services or implement transactions which you request, allow, authorize and to comply with the MATRKES internal policies or with the General Terms and Conditions of MATRKES.
- Further, I/We, undertake to fully indemnify and hold MATRKES free and harmless from any claims and liabilities arising from the instructions as detailed above.

Client Signature/s: \_



# 8. Specimen Signature Card/s [Required only for Lodgment / Upliftment Requests]

Individual Applicants Only: (For Corporate Applicants: Use Reverse Side)         Name         (Surname)         (Surname)         (Surname)         (Surname)         (Middle Name)         Mailing Address         'IN         Specimen Signatures for Individual Applicants:         I hereby declare under pain of perjury that all the informationcontained herein are true and correct of my own personal knowledge		(im Eng N SIGNATUF	RECARD	Please print all information required. Any alteration in the signature card must be in full by the applicant.
(Surname) (First Name) (Middle Name) Aailing Address IN Tel. No. Specimen Signatures for Individual Applicants: I hereby declare under pain of perjury that all the informationcontained herein are true and correct of my own personal knowle	or Individual A	pplicants Only: (Fo	r Corporate Applicants: Use Reve	rse Side)
Mailing Address         IN       Tel. No.         Specimen Signatures for Individual Applicants:         I hereby declare under pain of perjury that all the informationcontained herein are true and correct of my own personal knowledge	ame			
N       Tel. No.       Citizenship         Specimen Signatures for Individual Applicants:       I hereby declare under pain of perjury that all the information contained herein are true and correct of my own personal knowledge		(Surname)	(First Name	) (Middle Name)
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	pecimen Signa	tures for Individual Ap	oplicants:	
х х х х х х х х х х х х х х х х х х х			•	herein are true and correct of my own personal knowledge.
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apportant: Certificates will not be transferred unless a specimen signature the stockholders is on file with the Stock Transfer Agent of the Company. r your protection, please fill out this card and return to:			•	herein are true and correct of my own personal knowledge.

	R Kim Eng <sup>Se</sup> MEN SIGNATURE	CARD	Please print all information required. Any alteration in the signature card must be in full by the applicant.
or Individu	al Applicants Only: (For Co	orporate Applicants: Use Reverse	Side)
Name			
	(Surname)	(First Name)	(Middle Name)
Mailing Ad	dress		
IN		Tel. No.	Citizenship
	Signatures for Individual Appli eby declare under pain of perjui		rein are true and correct of my own personal knowledge.
	•		rein are true and correct of my own personal knowledge.
1) Important: 0 of the stock!	eby declare under pain of perjun	y that all the informationcontained he 2) red unless a specimen signature < Transfer Agent of the Company.	rein are true and correct of my own personal knowledge.